

# Some differentials on colored Khovanov-Rozansky link homology

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Knots in Hellas 2016

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# Plan

- 1 Motivation
- 2  $\mathfrak{sl}(N)$  link homologies
- 3 Physical structure, HOMFLY-PT homology

# Reshetikhin-Turaev link invariants

The **Reshetikhin-Turaev** invariants for links in  $\mathbb{R}^3$  give a function:

$$\{\text{triples } (L, \mathfrak{g}, \text{col})\} \xrightarrow{\text{RT}} \mathbb{C}(q)$$

- $L$  is a framed, oriented link in  $\mathbb{R}^3$ ,
- $\mathfrak{g}$  is a complex semi-simple Lie algebra,
- $\text{col}: \pi_0(L) \rightarrow \text{Irrep}^{f.d.}(\mathfrak{g})$  is a **coloring** of the link components by finite-dimensional irreducible representations of  $\mathfrak{g}$ .

## Question

*How does this function depend on the three arguments?*

For this talk:

- Lie algebras are of type A:  $\mathfrak{g} = \mathfrak{sl}_N$  for various  $N \in \mathbb{N}$ .
- Mostly colorings by irreps  $\mathbb{C}^N$  and  $\bigwedge^k \mathbb{C}^N$  for  $0 \leq k \leq N$ .

# Varying the Lie algebra

## Fact

The *Jones polynomial* (which appears as  $\text{RT}(-, \mathfrak{sl}_2, \mathbb{C}^2)$ ) is uniquely determined by its value on the unknot and the oriented skein relation:

$$q^2 V(\overrightarrow{\text{crossing}}) - q^{-2} V(\overleftarrow{\text{crossing}}) = (q - q^{-1}) V(\text{parallel})$$

Varying this skein relation, we get other link polynomials:

- $q^N P_N(\overrightarrow{\text{crossing}}) - q^{-N} P_N(\overleftarrow{\text{crossing}}) = (q - q^{-1}) P_N(\text{parallel})$  for  $\text{RT}(-, \mathfrak{sl}_N, \mathbb{C}^N)$ .
- $a P_\infty(\overrightarrow{\text{crossing}}) - a^{-1} P_\infty(\overleftarrow{\text{crossing}}) = (a - a^{-1}) P_\infty(\text{parallel})$   
for the **HOMFLY-PT polynomial**  $\in \mathbb{Z}[a^{\pm 1}](q)$ .
- $\Delta(\overrightarrow{\text{crossing}}) - \Delta(\overleftarrow{\text{crossing}}) = (q - q^{-1}) \Delta(\text{parallel})$   
for the **Alexander-Conway polynomial**.

## Varying the coloring

The finite-dimensional irreducible representations of  $\mathfrak{sl}_2$  are indexed by  $k \in \mathbb{N}$  (in fact  $V_k := \text{Sym}^k(\mathbb{C}^2)$ ). Redundancies in this countably-infinite list of invariants?

### Theorem (Garoufalidis-Lê)

*Let  $K$  be a framed knot in  $\mathbb{R}^3$ . The sequence of colored Jones polynomials  $(\text{RT}(K, \mathfrak{sl}_2, \text{Sym}^k(\mathbb{C}^2)))_{k \in \mathbb{N}}$  is  $q$ -holonomic.*

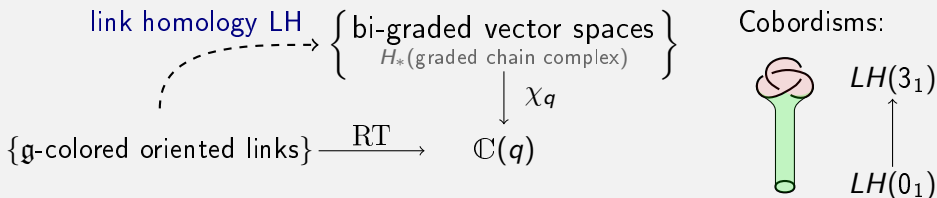
So the sequence is governed by a linear recurrence relation (with coefficients polynomials in  $q$  and  $q^k$ ) and, thus, determined by a finite part.

Analogous results hold for  $\mathfrak{sl}_N$ , for colored HOMFLY-PT polynomials, for links, with other sequences of colors... [Garoufalidis-Lauda-Lê](#).

# Varying the link?

Lie algebras and colorings can be varied in families. Some links come in families too, but let's take a different perspective.

Instead of just links, consider link embeddings in  $\mathbb{R}^3$  and smooth cobordisms between them (in  $\mathbb{R}^3 \times I$ ). Need categorified RT invariants:



Ideally functorial under link cobordisms.

## Goal for this talk

*Overview about the rank- and color-dependence of type A link homologies.*

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# Khovanov homology and its cousins

- 1999: **Khovanov** homology categorifies the Jones polynomial.

$$\mathrm{Kh}(\bigcirc) \cong H^*(\mathbb{C}P^1)\{-1\}$$

- 2004: **Khovanov-Rozansky** homology categorifies  $\mathrm{RT}(-, \mathfrak{sl}_N, \mathbb{C}^N)$ .

$$\mathrm{KhR}^N(\bigcirc) \cong H^*(\mathbb{C}P^{N-1})\{1 - N\}$$

- 2009: **Wu** and **Yonezawa** extended Khovanov-Rozansky homology to a categorification of  $\mathrm{RT}(-, \mathfrak{sl}_N, \bigwedge^k \mathbb{C}^N)$ .

$$\mathrm{KhR}^N(\bigcirc^k) \cong H^*(\mathrm{Gr}(k, N))\{k(k - N)\}$$

$$\mathrm{KhR}^N(K^1) = \mathrm{KhR}^N(K)$$



# Flavors of colored $\mathfrak{sl}_N$ link homologies

- ① Vanilla: via matrix factorizations, [Khovanov-Rozansky](#), [Wu](#), [Yonezawa](#).
- ② Representation theoretic: via category  $\mathcal{O}$ , [Mazorchuk-Stroppel](#), [Sussan](#).
- ③ Combinatorial: via cobordism or foam categories, [Bar-Natan](#), [Khovanov](#), [Mackaay-Stošić-Vaz](#), [Lauda-Queffelec-Rose](#).
- ④ Algebro-geometric: via affine Grassmannians, [Cautis-Kamnitzer-Licata](#)
- ⑤ Diagram-algebraic: via categorified tensor products, [Webster](#).
- ⑥ Symplectic: via Floer homology, [Seidel-Smith](#), [Manolescu](#), [Abouzaid](#).
- ⑦ Physical: via BPS state counting, [Gukov-Schwarz-Vafa](#), et.al.

# Two questions about the $\mathfrak{sl}_N$ link homology family

- What kind of geometric and topological information is accessible to it?
- What relations exist between its members?

# Geometric and topological information

- Concordance homomorphisms, slice genus bounds, Rasmussen, Lobb, Wu.
- Thurston-Bennequin number bounds, Shumakovitch, Plamenevskaya, Ng.
- Splitting number bounds, Batson-Seed.
- Unknot detection, Kronheimer-Mrowka.
- ...

## Fact

*These results rely on spectral sequences between different link homologies.*

# Relations via deformation spectral sequences

- 2002: Lee constructed spectral sequences

$$\mathrm{Kh}(K) \rightsquigarrow \mathbb{C}^2 \quad \mathrm{Kh}(L) \rightsquigarrow \mathbb{C}^{2^{|\pi_0(L)|}}$$

leading to Rasmussen's concordance homomorphism.

- 2004: Gornik constructed spectral sequences

$$\mathrm{KhR}^N(K) \rightsquigarrow \mathbb{C}^N \quad \mathrm{KhR}^N(L) \rightsquigarrow \mathbb{C}^{N^{|\pi_0(L)|}}$$

leading to Lobb's concordance homomorphism.

- 2006: Mackaay-Vaz constructed spectral sequences:

$$\mathrm{KhR}^3(K) \rightsquigarrow \mathrm{KhR}^2(K) \oplus \mathbb{C}$$

# More deformations

## Theorem (folklore)

Let  $K$  be a knot and  $\sum N_j = N$  with  $N_j \in \mathbb{N}$ , then there exists a deformation spectral sequence:

$$\mathrm{KhR}^N(K) \rightsquigarrow \bigoplus_j \mathrm{KhR}^{N_j}(K)$$

## Theorem (Rose-W. 2015)

Let  $K$  be a knot and  $\sum N_j = N$  with  $N_j \in \mathbb{N}$ , and write  $K^k$  for  $K$  colored by  $\bigwedge^k \mathbb{C}^N$ , then there exists a deformation spectral sequence:

$$\mathrm{KhR}^N(K^k) \rightsquigarrow \bigoplus_{\sum k_j = k} \bigotimes_j \mathrm{KhR}^{N_j}(K^{k_j})$$

*Mutatis mutandis for links.*

# Proof strategy

## Theorem (Rose-W. 2015)

Let  $K$  be a knot and  $\sum N_j = N$  with  $N_j \in \mathbb{N}$ , and write  $K^k$  for  $K$  colored by  $\bigwedge^k \mathbb{C}^N$ , then there exists a deformation spectral sequence:

$$\mathrm{KhR}^N(K^k) \rightsquigarrow \bigoplus_{\sum k_j = k} \bigotimes_j \mathrm{KhR}^{N_j}(K^{k_j})$$

- 1 Wu's spectral sequence
- 2 Unknot case
- 3  $\bigoplus$  decomposition
- 4  $\bigotimes$  decomposition
- 5 Identifying tensor factors

# Proof Step 1 – Wu's spectral sequence

- 1 Wu's construction of colored  $\mathfrak{sl}_N$  homology uses matrix factorization with potential  $X^N$ .

Following ideas of Gornik and Rasmussen:

Potential  $P(X) = \prod_{\lambda \in \Sigma} (X - \lambda) \in \mathbb{C}[X]$  of degree  $N$  with root multiset  $\Sigma$  gives a singly-graded, filtered link homology theory  $\text{KhR}^\Sigma(-)$  and spectral sequences

$$\text{KhR}^N(K^k) \rightsquigarrow \text{KhR}^\Sigma(K^k)$$

It remains to compute  $\text{KhR}^\Sigma(K^k)$  in terms of undeformed homologies.

## Proof Step 2 – The unknot case

- ② The link homology theory  $\text{KhR}^\Sigma(-)$  contains – and is controlled by – a  $(1+1)$ -dimensional TQFT. The corresponding commutative Frobenius algebra appears as the unknot invariant.

Let  $\Sigma = \{\lambda_1^{N_1}, \dots, \lambda_l^{N_l}\}$ ,  $P(X) = \prod_j (X - \lambda_j)^{N_j}$ , then we have:

$$\text{KhR}^\Sigma(\bigcirc^1) \cong \frac{\mathbb{C}[X]}{\langle P(X) \rangle} \cong \bigoplus_j \frac{\mathbb{C}[X]}{\langle (X - \lambda_j)^{N_j} \rangle} \cong \bigoplus_j \text{KhR}^{N_j}(\bigcirc^1).$$

Summands are indexed by roots of  $P(X)$ . And in the colored case:

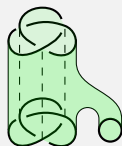
$$\text{KhR}^\Sigma(\bigcirc^k) \cong \frac{\text{Sym}[\mathbb{X}]}{\langle h_{N-k+i}(\mathbb{X} - \Sigma) \mid i > 1 \rangle} \cong \bigoplus_{\sum k_j = k} \bigotimes_j \text{KhR}^{N_j}(\bigcirc^{k_j}).$$

Summands are indexed by size  $k$  multisubsets  $\{\lambda_1^{k_1}, \dots, \lambda_l^{k_l}\}$  of roots.



## Proof Step 3 – The $\oplus$ decomposition

- ③  $\text{KhR}^\Sigma(K^k)$  is a  $\text{KhR}^\Sigma(\bigcirc^k)$ -module. If you believe in functoriality:



- ④ The proof of the  $\otimes$  decomposition and
- ⑤ the identification of the tensor factors depend heavily on the particular link homology construction. Here:  $\mathfrak{sl}_N$ -foams of [Queffelec-Rose](#) coupled to Karoubi envelope techniques inspired by [Bar-Natan Morrison](#).

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# Large $N$ limit

Physical expectation:  $\mathfrak{sl}_N$  homologies have a large  $N$  limit.

- 2004: **Khovanov-Rozansky**: reduced Khovanov Rozansky homology categorifies the reduced  $\mathfrak{sl}_N$  polynomial.

$$\widetilde{\text{KhR}}^N(\bigcirc) \cong \mathbb{C}$$

- 2005: **Khovanov-Rozansky**: reduced HOMFLY-PT homology categorifies the reduced HOMFLY-PT polynomial.

$$\widetilde{\text{KhR}}^\infty(\bigcirc) \cong \mathbb{C}$$

- 2006: **Rasmussen**: for a knot  $K$  there exist spectral sequences

$$\widetilde{\text{KhR}}^\infty(K)|_{a=q^N} \rightsquigarrow \widetilde{\text{KhR}}^N(K)$$

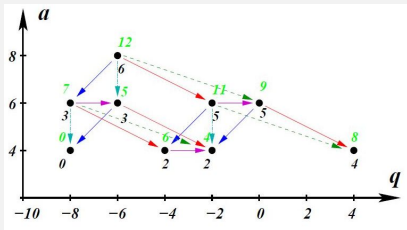
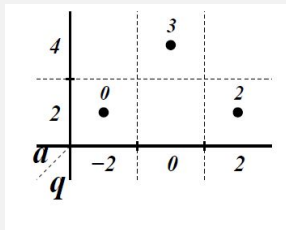
which become trivial for large  $N$ .

- 2016: **W.**: add “colored” in the above.

# More physical predictions

Large  $N$  stabilization is part of a system of expected relationships between reduced colored  $\mathfrak{sl}_N$  and HOMFLY-PT homologies. Other main features:

- **Differentials:**  $\widetilde{\text{KhR}}^N(K) \rightsquigarrow \widetilde{\text{KhR}}^M(K)$  for  $N \geq M$
- **Exponential growth:**  $\widetilde{\text{KhR}}^\infty(K^k) \cong \left(\widetilde{\text{KhR}}^\infty(K^1)\right)^{\otimes k}$   
for sufficiently simple knots  $K$ , after collapsing the  $q$ -grading
- **Symmetries**



Dunfield-Gukov-Rasmussen 2005, Gukov-Stošić 2011, Gorsky-Gukov-Stošić 2013, Gukov-Nawata-Saberi-Stošić-Sułkowski 2016.

## Deformations and differentials

## Theorem (W. 2016)

Let  $K$  be a knot,  $\sum N_j = N$  with  $N_j \in \mathbb{N}$ ,  $\sum k_j = k$  with  $k_j \in \mathbb{N}$ , and write  $K^k$  for  $K$  colored by  $\bigwedge^k \mathbb{C}^N$ , then there exists a spectral sequence:

$$\widetilde{\text{KhR}}^N(K^k) \rightsquigarrow \bigotimes_j \widetilde{\text{KhR}}^{N_j}(K^{k_j})$$

## Corollary (differentials)

Let  $K$  be a knot and  $N \geq M$ . There exists a spectral sequence:

$$\widetilde{\text{KhR}}^N(K) \rightsquigarrow \widetilde{\text{KhR}}^M(K)$$

## Deformations and exponential growth

## Theorem (W. 2016)

Let  $K$  be a knot,  $\sum N_j = N$  with  $N_j \in \mathbb{N}$ ,  $\sum k_j = k$  with  $k_j \in \mathbb{N}$ , and write  $K^k$  for  $K$  colored by  $\bigwedge^k \mathbb{C}^N$ , then there exists a spectral sequence:

$$\widetilde{\text{KhR}}^N(K^k) \rightsquigarrow \bigotimes_j \widetilde{\text{KhR}}^{N_j}(K^{k_j})$$

Corollary ( $\geq$  exponential growth)

Let  $K$  be a knot and  $k \in \mathbb{N}$ . There exist spectral sequences:

$$\begin{array}{ccc} \widetilde{\text{KhR}}^\infty(K^k) & & (\widetilde{\text{KhR}}^\infty(K^1))^{\otimes k} \\ \cong \downarrow & & \downarrow \cong \\ \widetilde{\text{KhR}}^{kN}(K^k) & \rightsquigarrow & (\widetilde{\text{KhR}}^N(K^1))^{\otimes k} \end{array} \quad \text{for } N \gg 0 .$$